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Carol M. Gruppi

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Erlinda M. GORDON and Frederick L. HALL
Serial No.: Not Yet Assigned
Filing Date: November 29, 2001
Title: TARGETED VECTORS FOR CANCER IMMUNOTHERAPY

JC564 U.S. PTO
09/997525
11/29/01UTILITY PATENT APPLICATION & FEE TRANSMITTAL
(for nonprovisional applications under 37 CFR § 1.53(b))

Commissioner for Patents
BOX PATENT APPLICATION
P.O. Box 2327
Arlington, VA 22202

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

I. Elements of the Application

- ☒ Application [1] page(s) of Cover Sheet
[23] page(s) of Specification
[2] page(s) of Claims (22 claims)
[1] page(s) of Abstract
[5] sheets of Drawings [] Formal [X] Informal
- ☒ Declaration and Power of Attorney [3] page(s)
[X] Unexecuted [] Executed [] Copy from prior application (37CFR § 1.63(d))

II. Claim for U.S. Priority

- ☐ This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of Prior Application No. _____ filed _____. (35 USC § 120)
- ☒ This application claims the benefit under 35 U.S.C. § 119(e) of United States Application Serial No. 60/250,185 filed November 29, 2000. (Provisional Application)
- ☐ The entire disclosure of the prior application referenced above, is considered as being part of the application filed herewith and is herein incorporated by reference in its entirety.

III. Claim for Foreign Priority

- ☐ This application claims the benefit under 35 USC § 119 of Prior Application No. _____ filed _____.
- ☐ Certified Copy of Priority Document [] page(s)
- ☐ English Translation of Priority Document [] page(s)

IV. Accompanying Documents

- ☐ Assignment Papers [] page(s) of Recordation Cover Sheet [] page(s) of Assignment
- ☒ Applicant(s) claims Small Entity Under 37 CFR § 1.27
- ☐ Information Disclosure Statement [] page(s) of PTO-1449 [] copies of IDS References
- ☐ A Preliminary Amendment [] page(s)
- ☐ A copy of a Petition for Extension of Time (_ mos.) filed simultaneously in Prior Application No. _____
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. _____
- ☐ Other _____ (specify)
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

V. Fee Calculation

<input type="checkbox"/> The following fees are submitted:					CALCULATIONS
			OTHER THAN SMALL ENTITY	SMALL ENTITY	\$
BASIC NATIONAL FILING FEE			\$ 740.00	\$ 370.00	\$370.00
EXTRA CLAIMS FEE					
CLAIMS	# FILED	# EXTRA	RATE	RATE	
Total Claims	22 - 20 =	2	× \$18.00	× \$ 9.00	\$ 18.00
Independent claims	2 - 3 =	0	× \$84.00	× \$42.00	\$
MULTIPLE DEPENDENT CLAIM(S)					
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			\$270.00	\$135.00	\$
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).					
_____ (Numbers of Applications) × \$40.00					\$
OTHER FEES _____ (specify)					\$
OTHER FEES _____ (specify)					\$
TOTAL FEES =					\$388.00

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No.: 50-1189, Docket No. 13761-7050, in the amount of \$388.00 to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1189, Docket No. 13761-7050.
A duplicate copy of this sheet is enclosed

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

DATE

Carol M. Gruppi
 NAME

REGISTRATION NUMBER

37,341